

Cassady Schiller Wealth Management Privacy Policy

A Guide to How We Use and Protect Client Information

Your personal and financial data is private information. Whether you are an existing customer or considering a relationship with us, protecting your privacy is our priority. In compliance with current privacy regulations, Cassady Schiller Wealth Management is required to provide you an annual notice informing you of your rights as a client and our obligations under federal privacy laws to protect information you provide to us.

Information Cassady Schiller Wealth Management Collects

Your personal information, not available publicly, may come from various sources. Information may be learned directly from you, from brokerage statements or other information you authorize us to receive, or from information we receive from you on applications of other forms. Such information may include: social security and/or taxpayer identification number, assets, income, account balance(s), investment activity and accounts at other institutions.

Cassady Schiller Wealth Management Will Safeguard Personal Information You Provide

- You may rely on us to protect the personal and private information you have entrusted to us. Access to your personal information will be limited to those employees who need such information to provide you the services you have requested from us. Cassady Schiller Wealth Management maintains internal physical, electronic and procedural safeguards to protect personal information about you.
- We may share your personal information with external companies to carry out services you have requested or to help us service your account. All companies with whom we do business are to comply with strict standards regarding security and confidentiality, and the information can be used only for the sole purpose of providing service we have requested of the company to perform.
- In accordance with any state or federal laws, we may be required to release information in certain circumstances (i.e. protection against fraud, responding to a subpoena, or reporting to a credit bureau).
- By law, we are required to notify you of our privacy policy on an annual basis. Although we reserve the right to change our policy, we will tell you of the changes promptly.

Importantly, we want to assure you that we will not sell client information to anyone. Your rights as a customer/consumer are a priority to us.

An Ongoing Commitment to Your Privacy

We are just as committed to protecting the privacy of our former customers as we are our current customers. If you choose to close your account or become an inactive customer, you will continue to be protected by the privacy policies and principles described in the policy.

Thank You

At Cassidy Schiller Wealth Management, your business is important to us, we promise to do our best to safeguard your financial assets and personal information about you. If at any time we can help you further, please call us at (513)483-6699 or write to us at 4555 Lake Forest Drive, Suite 400, Cincinnati, OH 45242.

For more information, please contact Kelly Murphy.